

Attorney Ticket No.: 05788.0111  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Giacomo Stefano Roba et al.

Serial No.: 09/465,016

Filed: December 16, 1999



Group Art Unit: 1731

For: PROCESS AND APPARATUS FOR SYNTHESIZING AND GROWING CRYSTALS

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

Attention:

**BOX MISSING PART**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts dated February 3, 2000, enclosed is the Declaration and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge of \$130.00. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

The specification attached to the declaration is a copy of the specification as filed.

Please associate this Declaration with the application as filed on December 16, 1999 and assigned serial number 09/465,016.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

*Ernest F. Chapman*  
Ernest F. Chapman  
Reg. No. 25,961

Dated: March 31, 2000  
EFC/FPD/cb

Enclosures



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER 097430-016	FILING/RECEIPT DATE 12/16/99	FIRST NAMED APPLICANT MONZA	ATTORNEY DOCKET NO./TITLE 05788-0111
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FINNEGAN HENDERSON FARABOW  
GARRETT & NUNNER L L P  
1300 I STREET N W  
WASHINGTON DC 20005-3315



DATE MAILED:

02/02/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and \_\_\_\_\_ to claim small entity status, claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**RECEIVED**

FEB 04 2000

FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP

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Rec'd 12/16/99  
Circ'd 05788-0111  
Due Date April 2, 2000  
MAILED 12/16/99  
By JEW  
U.S. GPO: 2000-60-5875